IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

C. A. No. 2:19-cv-17213 (MCA)

Plaintiff,

Defendants.

BRENDA SMITH, BROAD REACH CAPITAL, LP, BROAD REACH PARTNERS, LLC, and BRISTOL ADVISORS, LLC,

v.

ORDER CONFIRMING OWNERSHIP OF TAYLOR TRADING, LLC <u>ACCOUNT PROCEEDS</u>

THIS MATTER having come before this Court upon the Motion of Receiver,

Kevin D. Kent, Esquire, to Determine Ownership of Taylor Trading, LLC Account

(Dkt. No. 76), and the Motion of Receiver to Approve a Settlement Resolving the

Receiver's April 9, 2021 Motion to Determine Ownership of Taylor Trading, LLC

Account;

It is on this <u>10th</u> day of <u>November</u>, 2021,

ORDERED that the Receiver's Motion to Determine Ownership of Taylor

Trading, LLC Account is **DISMISSED** as moot; and it is

FURTHER ORDERED that the Receiver's Motion to Approve a Settlement Resolving the Receiver's April 9, 2021 Motion to Determine Ownership of Taylor Trading, LLC Account is **GRANTED**; and it is

FURTHER ORDERED that the Receiver may arrange for the transfer 50% of the balance of the Taylor Trading, LLC bank account maintained with PNC Bank at account number XXXXX9588 to Surefire Dividend Capture, LP and 50% to the Receivership operating account free and clear of any claimed third-party interests.

BY THE COURT:

HONORABLE MADELINE COX ARLEO UNITED STATES DISTRICT JUDGE