

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

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**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**BRENDA SMITH, BROAD REACH  
CAPITAL, LP, BROAD REACH  
PARTNERS, LLC, and BRISTOL  
ADVISORS, LLC,**

**Defendants.**

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C. A. No. 2:19-cv-17213 (MCA)

**ORDER PERMITTING THE RECEIVER, KEVIN D. KENT, ESQ., TO  
RETAIN WEALTH MANAGEMENT FIRM AND FOR DISCRETION TO  
LIQUIDATE RECEIVERSHIP HOLDINGS IN PUBLICLY  
TRADED SECURITIES**

**THIS MATTER** having come before this Court upon the Emergency Motion of Receiver, Kevin D. Kent, Esquire, for an Order Permitting the Receiver to Retain a Wealth Management Firm and For Discretion to Liquidate Receivership Holdings in Publicly Traded Securities;

It is on this 28th day of September, 2020,

**ORDERED** that the Emergency Motion of Receiver, Kevin D. Kent, Esquire, for an Order Permitting the Receiver to Retain a Wealth Management Firm and for

Discretion to Liquidate Receivership Holdings in Publicly Traded Securities, is hereby granted; and it is

**FURTHER ORDERED** that the Receiver's retention of Terry Fant, CFP and Eric Geedey, of Raymond James, for the purpose of opening a brokerage account to hold Receivership stock holdings, providing financial guidance and advice to the Receiver with regard to the potential sale of Receivership stock holdings, and coordinating and assisting with the potential sale and/or liquidation of Receivership stock holdings in publicly traded companies is hereby approved; and it is

**FURTHER ORDERED** that the Receiver is permitted to sell Receivership holdings in publicly traded securities where he believes, based upon the advice and guidance of Raymond James, that doing so would maximize value for the Receivership Estate, and that payment of reasonable commissions to Raymond James as set forth in the Receiver's motion, is hereby approved.

**BY THE COURT:**



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HONORABLE MADELINE COX ARLEO  
UNITED STATES DISTRICT JUDGE