IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

C. A. No. 2:19-cv-17213 (MCA)

Plaintiff,

v.

BRENDA SMITH, BROAD REACH CAPITAL, BROAD REACH PARTNERS, LLC, and BRISTOL ADVISORS, LLC, et al,

Defendants.

NOTICE OF PUBLICATION OF ADVERTISEMENT OF SALE OF RECEIVERSHIP REAL PROPERTY IN LOUISIANA

<u>OWNED BY BRENDA SMITH</u>

PLEASE TAKE NOTICE that, pursuant to this Court's Order Granting the Receiver, Kevin Dooley Kent's Motion Regarding the Confirmation and Advertisement of the Sale of Receivership Real Property in Louisiana Owned by Brenda Smith (ECF No. 145) ("the Order"), the Receiver hereby files this notice confirming the publication of advertisement of the sale.

On December 9, 2021, this Court ordered Kevin Dooley Kent, Receiver, to advertise the proposed Sale of Receivership Real Property located at 17091 Highway 1064, Tickfaw, Louisiana 70466, consisting of two parcels (Tangipahoa Parish Assessment Nos. 5615305 and 6157491) ("the Sale") in the (1) Hammond

Star, (2) The Times-Picayune | The New Orleans Advocate, and (3) The Advocate, Baton Rouge on or before December 20, 2021, and to post notice of the Sale on the Receiver's website (ECF No. 145). The Court further ordered the Receiver to file confirmation of publication with the Court as soon as practicable. Id.

The Receiver files this Notice to advise the Court that he has complied with the publication requirements set forth in the Order. In support of this Notice, the undersigned relies upon the accompanying Declaration of the Receiver and supporting exhibits, which are incorporated herein by reference.

Respectfully submitted,

Dated: 12/27/2021

s/Robin S. Weiss

Robin S. Weiss, Esquire Andrew S. Gallinaro, Esquire. Conrad O'Brien PC 1500 Market Street, Suite 3900 Centre Square, West Tower Philadelphia, PA 19102 Phone: 215-864-9600

Fax: 215-864-9620 rweiss@conradobrien.com agallinaro@conradobrien.com Attorneys for Receiver, Kevin Dooley

Kent

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

C. A. No. 2:19-cv-17213 (MCA)

BRENDA SMITH, BROAD REACH CAPITAL, LP, BROAD REACH PARTNERS, LLC, and BRISTOL ADVISORS, LLC,

Defendants.

DECLARATION OF RECEIVER, KEVIN DOOLEY KENT, IN SUPPORT OF NOTICE OF PUBLICATION OF ADVERTISEMENT OF SALE OF RECEIVERSHIP REAL PROPERTY IN LOUISIANA <u>OWNED BY BRENDA SMITH</u>

Pursuant to 28 U.S.C. § 1746, I hereby declare as follows:

- 1. I am the Court-appointed Receiver in this action.
- I make this Declaration in Support of the Receiver's Notice of
 Publication of Advertisement of Sale of Receivership Real Property in Louisiana
 Owned by Brenda Smith.
- 3. Consistent with this Court's Order of December 9, 2021 regarding the advertisement of the proposed Sale of Receivership Real Property located at 17091 Highway 1064, Tickfaw, Louisiana 70466, consisting of two parcels (Tangipahoa

Parish Assessment Nos. 5615305 and 6157491), I confirm and declare that advertisement of the Sale, through publication of the Court-approved Notice of Sale ("Notice of Sale"), has been published as follows:

- a. The Notice of Sale was published in the Hammond Star, a newspaper of general circulation in Hammond and Tickfaw, Louisiana, on
 December 16, 2021. See Proof of Publication of Notice of Sale in the Hammond Star, attached hereto at Exhibit "A".
- b. The Notice of Sale was published in The Advocate, Baton Rouge, a newspaper of general circulation in Baton Rouge metropolitan-area, on December 14, 2021. See Proof of Publication of Notice of Sale in The Advocate, Baton Rouge, attached hereto at Exhibit "B".
- c. The Notice of Sale was published in The Times-Picayune | The New Orleans Advocate, a newspaper of general circulation in New Orleans metropolitan-area, on December 14, 2021. See Proof of Publication of Notice of Sale in The Times-Picayune, attached hereto at Exhibit "C".
- 4. The Notice of Sale was also posted on the Receivership website on December 10, 2021, at http://broadreachreceiver.com/index.html.
- 5. The statements made in this Declaration are based upon my personal knowledge, information, and belief.

6. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on this 22nd day of Describer, 2021.

Kevin Dooley Kent

EXHIBIT "A"



1010 C.M. Fagan Dr., Suite 105 Hammond, Louisiana 70403 (985) 254-7805

STATE OF LOUISIANA Parish of Tangipahoa

I, Robbi Giannobile, the Accounting Clerk of the Hammond Daily Star, a daily newspaper of circulation in Hammond and Tangipahoa Parish, Louisiana and the Official Journal of the Local Governing Authority, do certify that the following insert/advertisement appeared in the said Hammond Daily Star in its regular edition on

Date Legal Number Robbi Giannobile Accounting Clerk Sworn to and subscribed before me

> Robert W. Tillery, Notary Public Bar Roll No. 12790



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hammondstar.com/classifieds/community/announcements/legal/notice-of-sale/ad_4a889b15-d7fc-5d4f-8d4c-de9373f6d05f.html



NOTICE OF SALE

Details for NOTICE OF SALE

12 hrs ago

NOTICE OF SALE

Kevin D. Kent, Court-Appointed Receiver in the matter of Securities and Exchange Commission v. Brenda A. Smith, et al., Civ. No. 2:19-cv-17213-MCA (D.N.J.), hereby gives public notice, in his capacity as Receiver, and pursuant to 28 U.S.C. § 2001, that he will sell "as is" and "where is" the lands, with all improvements thereon, located at 17091 Highway 1064, Tickfaw, LA 70466 (Tangipahoa Parish Assessment Nos. 5615305 and 6157491) (the "Property") for the sum of \$305,000.00 on or after January 14, 2022. Persons or entities interested in buying the Property may submit written cash bids, which meet the price requirements of 28 U.S.C. § 2001 and are subject to no contingencies of any kind, to Robin S. Weiss, Esq., Conrad O'Brien PC, 1500 Market Street, Suite 3900 West, Philadelphia, Pennsylvania 19102. All bids must be received at the offices of Conrad O'Brien PC before 5:00 p.m. (Eastern Time) on December 31, 2021. For additional information on the Property, please contact Joel Picolo of Godwyn and Stone at (504) 251-8341.

/s/ Kevin Dooley Kent
Federally-Appointed Equity
Receiver for the Assets and
Records of Brenda Smith, et al.
DECEMBER 16, 2021
21-12-62
Categories



TODAY'S E-EDITION



EXHIBIT "B"

CAPITAL CITY PRESS

Publisher of THE ADVOCATE

PROOF OF PUBLICATION

The hereto attached notice was published in THE ADVOCATE, a daily newspaper of general circulation published in Baton Rouge, Louisiana, and the Official Journal of the State of Louisiana, City of Baton Rouge, and Parish of East Baton Rouge or published daily in THE TIMES-PICAYUNE/
THE NEW ORLEANS ADVOCATE, in New Orleans Louisiana, or published daily in THE ACADIANA ADVOCATE in

12/14/21

Joy Newman, Public Notices Representative

Sworn and subscribed before me by the person whose signature appears above

12/14/21

M. Monic McChristian, Notary Public ID# 88293 State of Louisiana

My Commission Expires: Indefinite



ERIKA FINKERNAGEL

48549

1500 Market Street, Suite 3900W Philadelphia, PA 19102

PUBLIC NOTICE NOTICE OF SALE

Kevin D. Kent, Court-Appointed Receiver in the matter of Securities and Exchange Commission v. Brenda A. Smith, et al., Civ. No. 2:19-cv-17213-MCA (D.N.J.), hereby gives public notice, in his capacity as Receiver, and pursuant to 28 U.S.C. § 2001, that he will sell "as is" and "where is" the lands, with all improvements thereon, located at 17091 Highway 1064, Tickfaw, LA 70466 (Tangipahoa Parish Assessment Nos. 5615305 and 6157491) (the "Property") for the sum of \$305,000.00 on or after January 14, 2022. Persons or entities interested in buying the Property may submit written cash bids, which meet the price requirements of 28 U.S.C. § 2001 and are subject to no contingencies of any kind, to Robin S. Welss, Esq., Conrad O'Brien PC, 1500 Market Street, Sulte 3900 West, Philadelphia, Pennsylvania 19102. All bids must be received at the offices of Conrad O'Brien PC before 5:00 p.m. (Eastern Time) on December 31, 2021. For additional information on the Property, please contact Joel Picolo of Godwyn and Stone at (504) 251-8341.

/s/ Kevin Dooley Kent Federally-Appointed Equity Receiver for the Assets and Records of Brenda Smith, et al.

48549-DEC 14-1T

EXHIBIT "C"

CAPITAL CITY PRESS

Publisher of THE ADVOCATE

PROOF OF PUBLICATION

The hereto attached notice was published in THE ADVOCATE, a daily newspaper of general circulation published in Baton Rouge, Louisiana, and the Official Journal of the State of Louisiana, City of Baton Rouge, and Parish of East Baton Rouge or published daily in THE TIMES-PICAYUNE/
THE NEW ORLEANS ADVOCATE, in New Orleans Louisiana, or published daily in THE ACADIANA ADVOCATE in

12/14/21

Joy Newman, Public Notices Representative

Sworn and subscribed before me by the person whose signature appears above

12/14/21

M. Monic McChristian, Notary Public ID# 88293 State of Louisiana

My Commission Expires: Indefinite



ERIKA FINKERNAGEL

48547

1500 Market Street, Suite 3900W Philadelphia, PA 19102 0. 3

PUBLIC NOTICE

Kevin D. Kent, Court-Appointed Receiver in the matter of Securities and Exchange Commission v. Brenda A. Smith, et al., Civ. No. 2:19-cv-17213-MCA (DN.J.), hereby gives public notice, in his capacity as Receiver, and pursuant to 28 U.S.C. § 2001, that he will sell "as Is" and "where is" the lands, with all Improvements thereon, located at 17091 Highway 1064, Tickaw, LA 70466 (Tangipahoa Parish Assessment Nos. 5615305 and 6157491) (the "Property") for the sum of \$305,000.00 on or after January 14, 2022. Persons or entitles Interested in buying the Property may submit written cash bids, which meet the price requirements of 28 U.S.C. § 2001 and are subject to no contingencies of any kind, to Robin S. Welss, Esq., Conrad O'Brien PC, 1500 Market Street, Sulfe 3900 West, Philadelphia, Pennsylvania 19102. All bids must be received at the offices of Conrad O'Brien PC before 5:00 p.m. (Eastern Time) on December 31, 2021. For additional information on the Property, please contact Joel Picolo of Godwyn and Stone at (504) 251-8341.

/s/ Kevin Dooley Kent Federally-Appointed Equity Receiver for the Assets and Records of Brenda Smith, et al.

48547-DEC 14-1T

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SECURITIES AND EXCHANGE COMMISSION,

C. A. No. 2:19-cv-17213 (MCA)

Plaintiff,

v.

BRENDA SMITH, BROAD REACH CAPITAL, BROAD REACH PARTNERS, LLC, and BRISTOL ADVISORS, LLC, et al,

Defendants.

I hereby certify, this 27th day of December, 2021, that I caused to be served a true and correct copy of the Notice of Publication of Advertisement of Sale of Receivership Real Property in Louisiana Owned by Brenda Smith and all documents in support thereof upon Plaintiff, Securities and Exchange Commission, through counsel of record by electronic filing pursuant to Fed.R.Civ.P. 5(b), and upon Defendant, Brenda A. Smith, on behalf of all defendants, via first-class mail, postage prepaid, as follows:

Brenda A. Smith
Permanent ID 2019-339640
CCIS# 07-571432
U.S. Marshalls Number 72832-050
Essex County Correctional Facility
354 Doremus Avenue
Newark, NJ 07105

<u>s/Robin S. Weiss</u>Robin S. Weiss, Esq.Attorney for Receiver, Kevin D. Kent, Esq.